

Form PTO-1449 (Modified)		Atty Docket No.	Serial No.
<p style="text-align: center;"><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b></p> <p style="text-align: center;">(Use several sheets if necessary)</p> <p style="text-align: center;">DEC 14 2001</p> <p style="text-align: center;">U.S. PATENT DOCUMENTS</p>		LUMIP0128US	09/935,958
		Applicant: Jeffrey B. Williams, et al	
		Filing Date August 23, 2001	Group 2875

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
JL	3,328,570	6/1967	Balchunas			
JL	3,680,546	8/1972	Asrican			
JL	3,712,705	1/1973	Marcatili			
JL	3,807,393	4/1974	McDonald			
JL	3,890,960	6/1975	Wunsch et al			
JL	3,901,674	8/1975	Stack et al			
JL	3,950,073	4/1976	Horiguchi et al			
JL	4,043,636	8/1977	Eberhardt et al			
JL	4,052,980	10/1977	Grams et al			
JL	4,226,228	10/1980	Shin et al			
JL	4,337,763	7/1982	Petrassevich			
JL	4,471,412	9/1984	Mori			
JL	4,562,832	1/1986	Wilder et al			
JL	4,597,030	6/1986	Brody et al			
JL	4,686,972	8/1987	Kurland			
JL	4,714,983	12/1987	Lang			
JL	4,733,332	3/1988	Yamashita et al			
JL	4,765,701	8/1988	Cheslak			
JL	4,785,796	11/1988	Mattson			
JL	4,790,751	12/1988	Reinhardt et al			
JL	4,790,752	12/1988	Cheslak			
JL	4,807,599	2/1989	Robinson et al			
JL	4,968,124	11/1990	Deckert et al			
JL	4,974,122	11/1990	Shaw			
JL	5,005,108	4/1991	Pristash et al			

Examiner Initials	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
JK	5,035,232	7/1991	Lutze et al			
JK	5,039,198	8/1991	VanBeek			
JK	5,052,778	10/1991	Jamshid			
JK	5,097,396	3/1992	Myers			
JK	5,136,480	8/1992	Pristash et al			
JK	5,159,921	11/1992	Hoover			
JK	5,209,757	5/1993	Krug et al			
JK	5,226,105	7/1993	Myers			
JK	5,237,985	8/1993	Hodgson et al			
JK	5,281,134	1/1994	Schultz			
JK	5,295,216	3/1994	Halter			
JK	5,303,323	4/1994	Mezei			
JK	5,307,245	4/1994	Myers et al			
JK	5,312,569	5/1994	Mezei			
JK	5,312,570	5/1994	Halter			
JK	5,394,863	3/1995	Sanford et al			
JK	5,431,153	7/1995	Lee			
JK	5,499,912	3/1996	Mezei			
JK	5,520,611	5/1996	Rao et al			
JK	5,571,215	11/1996	Sterman et al			
JK	5,618,096	4/1997	Parker et al			
JK	5,667,480	9/1997	Knight et al (enclosed)			
JK	5,967,971	10/1999	Bolser (enclosed)			
JK	5,982,969	11/1999	Sugiyama et al			
JK	6,007,487	12/1999	Foley et al (enclosed)			



## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation	
						Yes	No
JL	2 133 694	11/1983	GB (enclosed)				
JL	86 00 868	4/1986	DE				
JL	86 07 483	7/1986	DE				
JL	4234050A1	6/1993	DE				
JL	PCT/US95/15117	6/1996	WO				
JL	PCT/US96/07512	11/1996	WO				
JL	PCT/US98/13628	7/1998	WO (enclosed)				

## OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	General Surgery & Laparoscopy News, Bioenterics Corporation - EndoLumina II Illuminated Bougie, Aug. 1997

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be anticipated. The "prior art" status of any reference is a matter to be resolved during prosecution.